

**ASIS International Houston Chapter
University of Houston - Downtown
United States Coast Guard
2004 Security and Law
Enforcement Training Seminar**

Wednesday, November 3, 2004

1. Ethics I 7:00–8:00 a.m.

Presenter: Greg H. Walker, J.D., ARM

Greg H. Walker founded RisKontroL, a multi-disciplinary professional services firm applying the best tools and skills of Risk Management, Security Management and Investigations to assist clients in reaching their business goal of profitability. We assist the client in controlling a wide range of business risks through investigations, asset protection techniques, information and competitive research and highly specialized and extremely personalized consultation.

Greg is an Attorney at Law and has practiced a combination of commercial litigation and business law since here in the Houston area from May of 1981 until 1992 when he added the other areas. RisKontroL is a licensed Private Investigations Company and Greg also is a licensed Security Consultant. He is further licensed by the Texas Department of Insurance as a Risk Manager and has been so licensed since 1988. He is presently in the process of completing his final requirement for the Associate in Risk Management (APRM) designation from the Insurance Institute of America's and the Risk Management and Insurance Society's Center for Advanced Risk Management Education.

Greg began his investigations and security education, skills and experiences by serving as a United States Postal Inspector assigned to the Tampa, Florida, domicile where he was a Major Frauds Specialist. Greg spent one and a half (1-1/2) years of his time with the Inspection Service representing the Service on a United States Department of Justice Organized Crime Strike Force. Prior to obtaining his Major Frauds rating and assignment, Greg worked a variety of miscellaneous criminal investigations including, Internal Theft, Armed Robbery/Burglary, Assaults on Postal Employees, Theft of Mail and Negotiating of Government Instruments, Narcotics and Bombs. His most notable case with the Inspection Service was heading the investigation of one of the largest arson insurance frauds in the United States — a scheme that involved not only well known local developers and property owners, but also organized crime figures, members of the local fire marshal's office and insurance adjusters. Also among his notable cases was being the second in command of one of the largest credit card frauds in the United States at that time. He also conducted a number of letter bomb investigations.

While with the Inspection Service he graduated from basic training in their sixteen (16) week live-in Academy in Bethesda, Maryland and later returned for the two (2) week intensive Fraud Specialty School. He is also a graduate of their one (1) week intensive Investigative Photography School.

Just prior to going to law school, Greg served two (2) years as a Special Crimes Investigator for the Hillsborough County (Greater Tampa Area) State Attorney's Office (the equivalent of the District Attorney's Offices in Texas). Greg was involved in a number of complex fraud investigations and was in charge of investigations involving civil rights' matters where the police were a possible culpable party, especially in matters of shootings of and by police officers. Greg and his partner also handled undercover narcotics and prostitution investigations for a number of smaller towns located in the Greater Tampa Area.

Greg is also a graduate of the Tampa Police Academy. Today, Greg is employed by the City Of Houston, Houston Airport Systems as an Airport Security Manager. He may be reached at: Greg H. Walker, Attorney At Law, President, RisKontroL — Risk Management, Security Consulting & Investigations, P.O. Box 1137, Bellaire, Texas 77402-1137, Phone: (713) 850-0061, Fax: (713) 728-2356, Pager (24/7): (713) 509-0404, E-Mail: gwalker@riskontrol.com

Presentation Summary:

Mandatory ethics training, in two parts.

2. Presenting Physical Evidence for Criminal Trials 8:10–9:40 a.m.

Dennis P. Williams, Supervisory Special Agent, Federal Bureau of Investigation, Houston, Texas

SA Williams is the Acting Director of the Greater Houston Regional Computer Forensic Lab, a partnership of law enforcement agencies in the Houston area to provide forensic examination of digital evidence, assist in searches and provide law enforcement computer investigative training. SA Williams has over twenty-nine years experience in the FBI with the past five years assigned to computer forensics. He is a Certified Forensic Computer Examiner (CFCE) by International Association of Computer Investigative Specialists (IACIS) and an FBI certified Forensic Examiner.

Presentation Summary:

This presentation will explore the necessary steps for presenting physical evidence in criminal trials. Using examples from cases where forensic evidence was used, the value of such evidence is explained and preparation of trial materials is explored.

3. Corporate Investigation – Preserving your Evidence 9:50–11:20 a.m.

Paul Brown, President, CyberEvidence, Inc.

Mr. Paul E. Brown is the founder and principal owner of CyberEvidence, Inc., an internationally recognized computer forensic investigation and training company located in The Woodlands, Texas. CyberEvidence, Inc. specializes in computer forensics, network seizures, digital recovery, and expert witness testimony. Mr. Brown retired in 1998 from the Houston Police Department, where he spanned many disciplines of work in the arena of technology and law enforcement. Two of his many major accomplishments during his twenty plus years of tenure with the department was his establishment of the department's first computer forensic laboratory and his creation of the only government micro-computing training facility in all of the City of Houston. Mr. Brown has dedicated his professional career to the prosecution of criminals, the pursuit of knowledge and the raising of awareness in computer technologies, digital evidence, and tools to find and stop criminals and prevent profit loss in private industry.

Paul has been an incredible resource to the law enforcement, security, and forensic communities providing best practices solutions for policies and legislation, continuing education speeches, seminars, and panel participation. He has been invited to be the Vice President to the IC2FAST Board (the International Center for Computer Forensic Accreditation, Standards and Testing), and to participate in such events as the Houston High Tech Crime Investigation Association, Ohio High Tech Computer Investigation Association, American Society of Industrial Security seminar, Information System Security Association meetings, and the FBI's largest and most active annual seminar for InfraGard, the Houston InfraGard Conference.

In addition to the investigative side of computer forensics, Paul has also worked hard to raise the bar in the arena of forensics training. Chosen by the National Institute of Standards and Technology (NIST), Paul helped contribute to the National Institute of Justice's Forensic Guide to Recovery of Electronic Evidence. He has also audited, contributed, developed and taught some of the first classes ever on computer forensics at the national level, these include FLETC's first Computer Network Investigation Training Program and their first Network Computer Forensic course combined with the Seized Computer Evidence Recovery Specialist (SCERS) course, as well as the National White Collar Crime Center's (NW3C) Data Recovery and Analysis (ADRA) series of courses.

Paul retains numerous certifications to include the recent highest level (three) for Certification for Homeland Security, EnCE, IACIS, Certified instructor by Louisiana State University for dealing with Weapons of Mass Destruction, CPSE, MCSP, SCERS Specialist Instructor, and Microsoft MCT, MCP, MCSE, Certified Novel CNI and CNE. Paul also continues his tenure as a Texas Peace Officer with a local department and has over 28 years of experience in law enforcement. Paul has also served his country through four years in the United States Air Force after high school graduation where he first was trained on complex computer technology and electronics in the course of his duties as an avionics technician. It is the Air Force experience that Paul contributes to allowing him to develop the love and skills in mastering today's technology. Paul is an ordained Deacon and AWANA Commander with the Crossroads Baptist Church in The Woodlands, Texas. Paul also actively supports the Civil Air Patrol through his work in emergency services and moral leadership.

Presentation Summary:

Conducting corporate investigations presents special problems for the preservation of evidence. This presentation will discuss issues surrounding these problems and provide some solutions for evidence preservation. Specific items to be discussed are: The Corporate Investigation; Number One Mistake; Developing Trends; Minimum Plans; The Fragility of Digital Evidence; Traditional Vs. Digital Evidence; The Digital Evidence Investigation Cycle; First Responder Concerns and Investigative Concerns. The presentation will conclude with a live demonstration of Evidence Retention and Analysis.

4. Criminal Analysis Techniques and Resources 12:50–2:20 p.m.

Dr. Robert Figlio, Chief Executive Officer, CAP Index, Inc.

Dr. Figlio received his Ph.D. from the University of Pennsylvania in 1971 specializing in criminology, demography and social psychology. He has lectured in the areas of criminology, statistics and research methods. He has been a featured speaker at numerous security-related meetings including presentations at the annual meetings of the American Society for Industrial Security, the Bank Administration Institute, the National Association of Convenience Stores, the American Hotel & Motel Association, the International Mass Retailers Association, the Petroleum Industry Security Council and the National Food Service Security Council.

Dr. Figlio has conducted and published industry-specific research for the Restaurant, Banking and Convenience Store Industries. He has been certified as an Expert Witness in Premises Liability and Inadequate Security cases, as well as in explaining and defending the validity of the CAP Index, Inc. Crime Vulnerability Assessment methodology.

For more information, contact CAP Index, Inc. at (800)227-7475 or visit our website at www.capindex.com

Presentation Summary:

The presentation will address modern predictors of loss, risk and crime vulnerability. Dr. Figlio's uses a data-driven approach to protecting people, property, and information from a variety of losses including crime, lawsuits, general liability claims, fraud, shrink and workers compensation claims. His methodology provides accurate, objective, and scientific information and is used by variety of industries including retail, financial, insurance, and manufacturing to optimize loss control. Specific data Mr. Figlio's methodology provides includes the following: Optimizes law enforcement and security resource allocation, establishes a defensible security plan, develops universal security thresholds, forecasts and prevents costly losses, provides loss prevention analytics, increases business profitability, addresses due diligence issues associated with property acquisition and corporate development, provides site risk validation, reduces liability exposure, improves return on investment, justifies allocation of resources, suggests insurance and risk reserves strategies, and provides expert, third party data.

5. Port & Facility Security 2:30–4:00 p.m.

CDR Jeff Hudkins, Chief of the Response Division, Marine Safety Office, USCG Houston

Commander Jeffrey Hudkins is a twenty year veteran of the United States Coast Guard where he has held a variety of important positions. Currently he assigned as the Chief of the Response Division of the Marine Safety Officer under the Captain of the Port for the Houston, Galveston, Texas City, and Freeport area of operations. This area is the second largest port in the world with 8,600 ships and millions of containers entering the area each year. In his current assignment, Commander Hudkins oversees maritime security, port operations, port facility security, and emergency response.

Presentation Summary:

Commander Hudkins' presentation will address the Coast Guard's plans for protection of the maritime assets and port facilities that constitute a major portion of the critical infrastructure in the Houston metropolitan area. This includes how ships and their cargos, port facilities, navigable waterways, bridges, etc. are protected from terrorist attacks. His presentations will also address regulatory requirements for companies as well as the responsibilities of company, facility, and ship security officers. Drills and training play a significant role in ensuring the effectiveness of the preparedness of a myriad of entities involved. Each of the entities has a plan

previously approved by the Coast Guard and all of the plans fit together in a seamless regional plan administered by the USCG. Other issues addressed by the Commander include the newly formed security response force, sea marshals, patrols (land and air), hazardous materials procedures, and incident response. Unlike any other governmental agency, the Coast Guard is a unique agency in that plays three important roles which include law enforcement agency, regulatory enforcement agency, and military force. For this reason, it is vital to the successful protection of the fourteen critical infrastructures in Houston that all governmental agencies and companies work together as a single entity to protect this area which is vital to the economy of the United States.

Thursday, November 4, 2004

1. Ethics II 7:00–8:00 a.m.

Greg H. Walker, J.D., ARM

See bio & summary on first day.

2. Domestic Terrorism Update 8:10–9:40 a.m.

Ron Hopper, Supervisory Agent, Domestic Terrorism Operations Unit, Federal Bureau of Investigation

SSA Ronald Hopper began his law enforcement career as A Deputy Sheriff in Hernando County, Florida in 1993. During his five years with the HCSO, Mr. Hopper served as a patrol deputy, SWAT Team member, and an undercover vice and narcotics detective. In December of 1997, Mr. Hopper reported to the FBI academy in Quantico, Virginia. After completing the four month New Agent's Training Course, he was appointed as a Special Agent of the FBI.

In April 1998, Mr. Hopper reported to the New Orleans Division of the FBI where he was assigned to the Shreveport Resident Agency. While assigned to the Shreveport Resident Agency, Special Agent Hopper served as the Violent Crimes and Safe Streets Task Force Coordinator for five years. During his assignment to the Task Force, SA Hopper utilized numerous sophisticated techniques which resulted in the dismantlement of more than nine national criminal street gangs including the Bloods and the Crips. SA Hopper was a member of the New Orleans Division SWAT Team and also served as the head of the Shreveport Resident Agency from September 2001 through December 2001.

In August 2002, SA Hopper was promoted to the Domestic Terrorism Operations Unit at FBI Headquarters in Washington D.C. as a Supervisory Special Agent. SSA Hopper is currently responsible for all Domestic Terrorism Operations occurring in the South-Central region of the United States. He is also responsible for all matters relating to the Oklahoma City Bombing that occurred on April 19, 1995.

Presentation Summary:

This presentation will explain the legal definition of Domestic Terrorism and how it applies to Domestic Terrorism Investigations conducted by the FBI. The presentation will also explain the various domestic terrorist groups that threaten the U.S. today as well as trends and tactics being utilized by these groups to effect political or social change

3. Emergency Response Programs and Training 9:50–11:20

John E. Guido, Director Law Enforcement and Security, National Emergency Response & Rescue Training Center (TEEX)

Mr. Guido is the Director of Law Enforcement and Security Programs at the National Emergency Response and Rescue Training Center (NERRTC), Texas Engineering Extension Service, Texas A&M University System. A former FBI Senior Executive, Mr. Guido directed International Operations and was a member of the FBI Executive Board. During a thirty-year career he served in a variety of investigative and management positions at FBI Headquarters, in three domestic field offices, including Houston as Assistant Agent in Charge, and as the Legal Attaché at the American Embassy in London.

His experience includes counter-terrorism operations, security planning for special events such as the Olympic Games, and management of FBI undercover and special operations. He is a graduate of the State Department Foreign Service Institute Senior Seminar and the FBI Executive Development Institute, and holds a BA from Long Island University. He has served as a member of the International Association of Chiefs of Police (IACP) International Advisory Committee, and is currently working with the IACP Terrorism Committee. Mr. Guido is a former Naval Officer and Vietnam veteran.

Presentation Summary:

Overview of NERRTC/TEEX programs and available training, with concentration programs focusing on prevention and deterrence of terrorist acts, both training and exercise. For training, a detailed description of TEEX programs in Enhanced Threat & Risk Course and the Law Enforcement Prevention & Deterrence of

Terrorism will be presented. The first deals with identifying critical infrastructure, vulnerabilities and target hardening techniques. The second is a course developed by the Department of Homeland Security as a “train the trainer” program to provide awareness training for patrol officers on terrorist techniques and weapons. For exercises, a description of the Texas Exercise Program sponsored by the Texas Office of Homeland Security and Division of Emergency Management will be provided. This program, which has been in operation for a number of years testing community response capability, has been expanded to focus on prevention and deterrence of terrorist activity against Critical Infrastructure. The exercises are designed to review procedures regarding the development and sharing of information on suspicious activity which may indicate terrorist activity. The exercise attempts to identify the level of awareness training, vetting of employees and contractors, information sharing networks between and with the private and public sectors, and plans to enhance security in response to increase threats.

4. Terrorism Case Studies, Joint Terrorism Task Force, USA Patriot Act 12:50–2:20 p.m.
Abe Martinez, Chief, Terrorism & National Security Division, United States Attorney’s Office, Houston, TX

Abran Martinez is an experienced prosecutor with 13 years service as an Assistant United States Attorney in the Southern District of Texas. During his tenure with this office, Mr. Martinez has been involved in the prosecution of espionage and terrorism violations, cyber crimes, violent crimes, economic crimes, public corruption, and illegal narcotics trafficking. He currently serves as the Anti-Terrorism Task Force Coordinator for the Southern District of Texas, and is a member of two Department of Justice executive committees; the Information Technology Investment Review Board, and the Law Enforcement Information Sharing Group. Mr. Martinez is a graduate of Colorado State University and earned his law degree from Boston College Law School.

Prior to his service as an Assistant United States Attorney, Mr. Martinez was a Special Agent with the Federal Bureau of Investigation (FBI) for six years and was assigned his last year as a Supervisory Special Agent at FBI Headquarters in Washington D.C.. Prior to his stint with the FBI, he served in the United States Air Force and was assigned to the North American Defense Command (NORAD) at Cheyenne Mountain, Colorado Springs, Colorado. Mr. Martinez is involved in State and local bar committees, having served on the State of Texas, Rules of Professional Conduct Committee, and Houston Bar, Federal Practice Section.

Presentation Summary:

With the war on terrorism has come a host of new responsibilities, reorganized structures, priorities and laws. Alliances between agencies are necessary to carry out, in the most effective manner, the new tasks and legal duties required. At the same time, difficulties are inherent both in the task and the process. This presentation discusses some of those responsibilities and provides case studies from which lessons may be drawn. It also discusses the Joint Terrorism Task Force and the legal requirements of the USA Patriot Act.

5. Effective Communicating: Security & Safety Information Through the Mass Media 2:30–4:00 p.m.

Dr. Bob Emery, Executive Director, Environmental Health and Safety, University of Texas Health Science Center

Dr. Robert Emery is Assistant Vice President for Safety, Health, Environment & Risk Management for The University of Texas Health Science Center at Houston and Associate Professor of Occupational Health at the University of Texas School of Public Health. Bob has over 25 years of experience in the field of health & safety and holds masters degrees in both health physics and environmental sciences, and a doctorate in occupational health. Bob is unique in that he possesses national board certification and registration in all of the main areas of health & safety: health physics [Certified Health Physicist, CHP], occupational safety [Certified Safety Professional, CSP], industrial hygiene [Certified Industrial Hygienist, CIH], biological safety [Registered Biosafety Professional, RBP], hazardous materials [Certified Hazardous Materials Manager, CHMM], security management [Certified Protection Professional, CPP], and risk management [Associate in Risk Management, ARM].

Bob is the author of many peer-reviewed articles on practical health and safety topics and makes frequent presentations on such issues at both the local and national level. He welcomes to opportunity to interact with colleagues from the various health & safety disciplines and can be contacted via e-mail at

Robert.J.Emery@uth.tmc.edu.

Presentation Summary:

In the post 9/11 world, the need to disseminate prompt, accurate safety and security information is acute. And like it or not, the primary source of information for the general public in times of emergencies is the mass media. In recognition of this, safety and security professionals need to become adept at managing their interactions with the media so that the right message is ultimately conveyed. Unfortunately, many professionals are untrained in media relations because their organizations maintain media relations or public affairs units that serve as the initial interface with the media. But when something goes wrong, inevitably the interview with the designated safety or security professional will occur. To be better prepared for this eventuality, this presentation will describe the various forms of mass media outlets and how they work. The instructor will share his "behind the scenes" media experiences by showing actual content of safety and security-related pieces in differing media formats. Attendees will also participate in the creation of media "backgrounders" and the results will be discussed by the group. Ample time will be provided for questions and discussion.

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